



Patent Application

Attorney Docket No. DEM1P001

3689/8/  
12/10/c.c.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: NEAL et al.

Attorney Docket No.: DEM1P001

Application No.: 09/741,958

Examiner: Robinson Boyce, Akiba K

Filed: December 20, 2000

Group: 3639

Title: PRICE OPTIMIZATION SYSTEM

Confirmation No.: 7264

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 28, 2005.

**September 28, 2005**

Signed: \_\_\_\_\_

Kang S. Lim

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT FILED**

**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

10/05/2005 HLE333 00000036 09741958

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180.00 OP

- \_\_\_ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.
- X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.
- \_\_\_ A certification pursuant to 37 C.F.R. § 1.97(e) or
- X A Credit Card Payment Form Authorizing payment for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. KL-DEM1P001).

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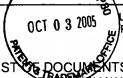
Respectfully submitted,



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**CUSTOMER NO. 36088**

\\KSL IDS d

	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. DEM1P001	SERIAL NO. 09/741,958
	LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56			
	APPLICANT NEAL			
FILING DATE 12/20/00			GROUP 3639	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.R./	AA	6,910,017	06/21/05	Woo et al.			
/A.R./	AB	6,546,387	04/08/03	Triggs			
/A.R./	AC	2002/0198794	12/26/02	Williams et al.			
/A.R./	AD	2002/0123930	09/05/02	Boyd et al.			
/A.R./	AE	2002/0107819	08/08/02	Ouimet			
/A.R./	AF	6,405,175	06/11/02	Ng			
/A.R./	AG	2002/0023001	02/21/02	McFarlin et al.			
/A.R./	AH	5,521,813	05/28/96	Fox et al.			
/A.R./	AI	5,212,791	05/18/93	Damian et al.			
/A.R./	AJ	4,744,026	05/10/88	Vanderbei			
/A.R./	AK	3,017,610	01/16/62	Auerbach et al.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.R./	BA	Barth, Brad, "Shopko Tests Automated Markdowns", WWD 10/04/00, pages 1-3.					
/A.R./	BB	Cook, Martie, "Optimizing Space and Sales with Markdown Software", Office.com, 05/31/2000, page 1.					
/A.R./	BC	"Essentus and Spotlight Solutions Partnership Delivers Precise Markdown Decisions", Business Wire, 04/17/00, 3 pages.					
/A.R./	BD	Melcer, Rachel, "Local Tech Firm Creates Retail Markdown Tool", Business Courier online, 03/24/00, pages 1-4.					
/A.R./	BE	Technology Strategy Incorporated, <a href="http://www.grossprofit.com">www.grossprofit.com</a> , 03/02/00, pages 1-20.					
/A.R./	BF	Flanagan, David, "JavaScript: The Definitive Guide, 3 <sup>rd</sup> Edition," published by O'Reilly in June 1998 (ISBN 1-56592-392-8) section 14.8.					
/A.R./	BG	Berners-Lee, T., "Hypertext Markup Language 2.0 Working Paper," Nov 1995 (pages 1-3).					
/A.R./	BH	Tellis, Gerard J., and Fred S. Zufryden, "Tackling the Retailer Decision Maze: Which Brands to Discount, How Much, When and Why," Marketing Science, Vol. 1, No. 34, 1995 (pages 271-299).					

EXAMINER /Akiba Robinson Boyce/	DATE CONSIDERED 07/08/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

